

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

FAHEEM ALTAF
KUMAR RAVI
MICHAEL J. REYNOLDS

Group Art Unit:

Examiner:

Serial No.: UNKNOWN

Conf. No.:

Filed: HEREWITH

Atty. Dkt.: AUS920031014US1
2300.000700For: ADJUSTING A NUMBER OF
DATABASE REPLICAS BASED
ON A DEFINED THRESHOLD
VALUE**INFORMATION DISCLOSURE STATEMENT**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

Date: 1-13-04

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Form PTO-1449 (modified)	Atty. Docket No. AUS920031014US1/2300.000700	Serial No. UNKNOWN
List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Applicant(s) Faheem Altaf, Kumar Ravi, Michael J. Reynolds	
	Filing Date: HEREWITH	Group:
U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 1</i>	Other Art <i>See Page 1</i>

U.S. Patent Documents

Exam. Init.	Ref. Des	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	5,935,206	08/10/1999	Dixon et al.	709	219	12/13/1996
	A2	5,991,766	11/23/1999	Sadiq et al.	707	103	12/02/1997
	A3	6,574,617	06/03/2003	Immerman, et al.	707	1	06/19/2000

Foreign Patent Documents

Exam. Init.	Ref Des	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1	920030020 GB1		GB			
	B2	02/05116 A2	01/17/2002	WO	G06F	17/00	

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des	Citation
	C1	Oeda, Takahashi; U.S. Patent Application Serial No. 2001/0029502 A1 entitled "Computer System with a Plurality of Database Management Systems, Published 10/11/2001; 17 pages.
	C2	Kroesa, et al., U.S. Patent Application Serial No. 2003/0070163 A1 entitled "Method for Distributing Data in a Data Net," Published 04/10/2003, 5 pages.
	C3	Hun, et al., U.S. Patent Application Serial No. 2003/0135502 A1 entitled "Multi-Server Computer System with Self-Initiating Database Replication Utility," Published 07/17/2003, 9 pages.
	C4	IBM Research Disclosure, "The Idea to Reduce Network Traffic to Replicate Lists Between Two Nodes by Exchange Each Node's Number of Items First," October 1998, Number 414, 2 pages.
	C5	Lightstone, et al., "Toward Autonomic Computing with DB2 Universal Database," 8 pages.
	C6	Verma, et al., SRIRAM: A Scalable Resilient Autonomic Mesh," IBM Systems Journal, Vol. 42, No. 1, 2003, pages 19-28.

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.